

05/15/01

Jc912 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

9491-053-27 DIV

First Inventor or Application Identifier

ANDREW C. BRAISTED

Title

CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

Jc912 U.S. PTO

09/854816

05/15/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **247**
(including 95 page sequence listing)
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **31**
4. ☒ Oath or Declaration Total Pages **5**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☒ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☒ Request For Preparation of a Computer Readable Sequence Listing with Copy of First Page Sequence Listing
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Copy Certificate Re: Sequence Listing Response
Paper Copy of Sequence Listing (121 pages)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 08/965,056, filed 11/5/1997 (pending)

Prior application information: Examiner: C. Delacroix-Muirheid

Group Art Unit: 1614

17. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
of application Serial No. 08/965,056 Filed on 11/5/1997 (pending), now US Patent 6,271,198,
which claims priority to: Provisional Application No. 60/049,787, filed June 16, 1997 (now abandoned);
Continuation-in-part Application Serial No. 08/876,698, filed June 16, 1997 (now abandoned); and Application Serial No.
08/743,698, filed November 6, 1996 (now abandoned).

18. CORRESPONDENCE ADDRESS

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PIPER MARBURY RUDNICK & WOLFE LLP
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Name	Steven B. Kelber	Registration No.	30,073
Signature	Laura D. Nammo	Date	5-15-2001
Name	Laura D. Nammo	Registration No.	42,024

Telephone 202-861-3900

FEE TRANSMITTAL

Docket No.	9491-053-27 DIV
Serial No.	NEW DIVISIONAL APPLICATION
Filing Date	HEREWITH
Inventor(s)	ANDREW C. BRAISTED, ET AL.
Group Art Unit	UNASSIGNED
Examiner	UNASSIGNED

TOTAL AMOUNT OF PAYMENT \$1,078.00

1. ☐ Applicant claims small entity status.

☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam. fee	
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)				\$710.00	

2. EXTRA CLAIM FEES

Tot. claims		21		-		20*		=		1		x		\$18		=		18		121		270		221		135		Request for Oral Hearing			
Ind. claims		4		-		3*		=		1		x		\$80		=		80		142		1240		242		620		Utility/Reissue Issue Fee			
<input checked="" type="checkbox"/>		Multiple Dependent Claims												\$270		=		270		143		440		243		220		Design Issue Fee			
Large Entity			Small Entity				Fee Description										144		600		244		300		Plant Issue Fee						
Fee Code		Fee (\$)		Fee Code		Fee (\$)												122		130		122		130		Petitions to the Commissioner					
103		18		203		9		Claims in excess of 20										126		180		126		180		IDS Submission					
102		80		202		40		Independent claims in excess of 3										581		40		581		40		Assignment					
104		270		204		135		Multiple dependent claim, if not paid										179		710		279		355		For Filing RCE					
109		80		209		40		*Reissue independent claims over original patent										169		900		169		900		Expedited Design					
110		18		210		9		*Reissue claims in excess of 20 and over original patent										OTHER (indicate below):													
SUBTOTAL (2)														\$368.00																	

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$0.00

Name	Steven B. Kelber	Registration No.	30,073
Signature	<i>Laura D. Nammo</i>	Date	5-15-2001
Name	Laura D. Nammo	Registration No.	42,024
		Telephone	202-861-3900

COPY

Patent Docket P1005R2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Andrew C. Braisted et al. Serial No.: 08/743,698 Filed: 6 November 1996 For: Constrained Helical Peptides and Methods of Making Same	Group Art Unit: Unassigned Examiner: Unassigned CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231. Express Mail Number: EM 239461777 US November 5, 1997 <i>Duane Alexander Vick</i> Duane Alexander Vick
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CERTIFICATE RE: SEQUENCE LISTING

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same. I further state that this submission includes no new matter.

Respectfully submitted,
GENENTECH, INC.

Date: November 5, 1997

By: *Timothy E. Torchia*
Timothy E. Torchia, Ph.D.
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09854816 "051501

DOCKET NO. 9491-053-27 DIV

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :

ANDREW C. BRAISTED, ET AL. :

SERIAL NO: NEW DIVISIONAL APPLICATION :

FILED: HERewith :

FOR: CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

**REQUEST FOR PREPARATION OF A COMPUTER-READABLE
SEQUENCE LISTING AND STATEMENT**

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

The paper copy of the Sequence Listing submitted in this application is identical to the computer-readable copy of the Sequence Listing of record in parent application Serial No. 08/965,056 filed November 5, 1997. In accordance with 37 CFR 1.821(e), please use the last-filed computer-readable form in parent application Serial No. 08/965,056 as the computer-readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary changes in application number, filing date and priority information (to include this application). A copy of the first page of the Sequence Listing highlighting the fields with information to be updated is attached hereto for the Examiner's convenience.

Respectfully submitted,

PIPER RUDNICK MARBURY & WOLFE, LLP

5-15-2001

Date



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